

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

FALL, CHRISTOPHER

, Department of Energy

Date of Appointment:

Other Federal Government Positions Held During the Preceding 12 Months:

Mechanical Engineer (Office of Naval Research) (8/2014 - Present)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ FALL, CHRISTOPHER [electronically signed on 11/16/2017 by FALL, CHRISTOPHER in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Plessner, Brian C, Certifying Official [electronically signed on 01/10/2018 by Plessner, Brian C in Integrity.gov]

Other review conducted by

/s/ Plessner, Brian C, Ethics Official [electronically signed on 01/10/2018 by Plessner, Brian C in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 11/24/2017

Data Revised 11/22/2017

Data Revised 11/21/2017

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Georgetown University	Washington, District of Columbia	University/College	Research Professor	1/2011	7/2017

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	USAA Retirement	No			
1.1	Vanguard Total Stock Market ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2	USAA Target Retirement Fund 2030	Yes	\$15,001 - \$50,000		None (or less than \$201)
2	TIAA Retirement	No			
2.1	TIAA Traditional Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	New York University (administered by TIAA-CREF)	New York, New York	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	9/2000

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

None

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	National Governors Association	N/A		salary	
2	USAA Retirement	No			
2.1	USAA Target Retirement Fund 2040	Yes	\$15,001 - \$50,000		None (or less than \$201)
3	T Rowe Price Retirement	No			
3.1	T Rowe Price Retirement 2030	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.2	T Rowe Price Retirement 2030	Yes	\$50,001 - \$100,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	USAA Investment Account	No			
1.1	USAA (cash account)	N/A	\$100,001 - \$250,000	Interest Dividends	\$201 - \$1,000
1.2	USAA NASDAQ 100 Index	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3	USAA Science and Technology Index	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.4	USAA S&P 500 Index	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2	USAA Cash Account	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
3	USAA Investment Account	No			
3.1	USAA Treasury Money Market	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2	USAA Money Market	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.3	USAA NASDAQ 100 Index	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.4	USAA S&P 500 Index	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	Essex Financial S29 Account				
4.1	American Balanced Fund, Class C	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.2	Capital World Growth and Income Fund, Class C	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.3	Fundamental Investors Fund, Class C	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.4	New World Fund, Class C	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.5	SmallCap World Fund, Class C	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.6	The Growth Fund of America, Class C	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	USAA 529 Age-Based Option 14-15	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	Essex Financial 529 Account				
6.1	American Balanced Fund, Class C	Yes	\$50,001 - \$100,000		None (or less than \$201)
6.2	Capital World Growth and Income Fund, Class C	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.3	Fundamental Investors Fund, Class C	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.4	New World Fund, Class C	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.5	SmallCap World Fund, Class C	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.6	The Growth Fund of America, Class C	Yes	\$1,001 - \$15,000		None (or less than \$201)
7	U5AA 529 Age-Based Option 12-13	N/A	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	PenFed Credit Union	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2014	3.875	30 years

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes